

Due Diligence Form

Foreign Company

Please complete neatly in BLOCK LETTERS

OFFICE USE ONLY

NEW CHANGE OF DETAILS

ACCOUNT NUMBER:

CHECKED BY:

SECTION ONE – COMPANY DETAILS

FULL NAME OF COMPANY

BUSINESS / TRADING NAME (IF ANY)

INDUSTRY (main product or service provided by the company)

COUNTRY OF INCORPORATION / REGISTRATION

PHONE (including country code)

EMAIL

WEBSITE

TO YOUR KNOWLEDGE, IS ANY PERSON ASSOCIATED WITH THE COMPANY A POLITICALLY EXPOSED PERSON?

 YES NO

If 'yes', provide details

PRINCIPAL PLACE OF BUSINESS OR AGENT'S ADDRESS IN AUSTRALIA

If the company is registered with ASIC, provide the address registered with ASIC. If not, provide the company's primary principal place of business in the country of incorporation / registration

STREET

CITY / TOWN

STATE

POSTCODE

COUNTRY

REGISTERED OFFICE ADDRESS

If the company is registered with ASIC, provide the address registered with ASIC. If not, provide the company's registered overseas office address (if applicable)

STREET

CITY / TOWN

STATE

POSTCODE

COUNTRY

SECTION TWO – REGISTRATION DETAILS

IS THE COMPANY REGISTERED WITH A FOREIGN REGISTRATION BODY?

 YES NO

If 'yes', Name of foreign registration body

Registration number (if applicable)

IS THE COMPANY REGISTERED WITH ASIC?

 YES NO

If 'yes', Australian Registered Business Number (ARBN)

Name of agent in Australia (if applicable)

SECTION THREE – SHAREHOLDERS

PROVIDE DETAILS OF ANY PERSONS WHO OWN 25% OR MORE OF THE COMPANY'S SHARES, EITHER DIRECTLY OR INDIRECTLY (I.E. VIA A COMPANY SHAREHOLDER).

IF THERE ARE NO SHAREHOLDERS WHO OWN 25% OR MORE OF THE COMPANY'S SHARES, SKIP TO SECTION 4 – KEY DECISION MAKERS

SHAREHOLDER #1

TOTAL % OF SHARES HELD:

FULL NAME

DATE OF BIRTH

CURRENT RESIDENTIAL ADDRESS (NO POSTAL ADDRESSES OR PO BOXES)

CITY / TOWN

STATE

POSTCODE

COUNTRY

SHAREHOLDER #2

TOTAL % OF SHARES HELD:

FULL NAME

DATE OF BIRTH

CURRENT RESIDENTIAL ADDRESS (NO POSTAL ADDRESSES OR PO BOXES)

CITY / TOWN

STATE

POSTCODE

COUNTRY

SHAREHOLDER #3

TOTAL % OF SHARES HELD:

FULL NAME

DATE OF BIRTH

CURRENT RESIDENTIAL ADDRESS (NO POSTAL ADDRESSES OR PO BOXES)

CITY / TOWN

STATE

POSTCODE

COUNTRY

SHAREHOLDER #4

TOTAL % OF SHARES HELD:

FULL NAME

DATE OF BIRTH

CURRENT RESIDENTIAL ADDRESS (NO POSTAL ADDRESSES OR PO BOXES)

CITY / TOWN

STATE

POSTCODE

COUNTRY



SECTION FOUR – KEY DECISION MAKERS

IF THERE ARE NO INDIVIDUALS WHO OWN 25% OR MORE OF THE COMPANY'S SHARES DIRECTLY OR INDIRECTLY, PROVIDE DETAILS OF ANY PERSONS WHO DIRECTLY OR INDIRECTLY CONTROL THE COMPANY.

THIS CAN BE BUT IS NOT LIMITED TO PERSONS WITH 25% VOTING RIGHTS OR POWER OF VETO EITHER DIRECTLY OR VIA AGREEMENTS. IF THERE ARE NO OTHER PERSONS IDENTIFIED WHO CONTROL THE COMPANY, PROVIDE DETAILS OF ANY EXECUTIVES OR SENIOR MANAGING OFFICIALS WHO CAN SIGN ON THE COMPANY'S BEHALF

DECISION MAKER #

ROLE:

FULL NAME

DATE OF BIRTH

CURRENT RESIDENTIAL ADDRESS (NO POSTAL ADDRESSES OR PO BOXES)

CITY / TOWN

STATE

POSTCODE

COUNTRY

DECISION MAKER #

ROLE:

FULL NAME

DATE OF BIRTH

CURRENT RESIDENTIAL ADDRESS (NO POSTAL ADDRESSES OR PO BOXES)

CITY / TOWN

STATE

POSTCODE

COUNTRY

SECTION FIVE – DIRECTORS

WHAT TYPE OF COMPANY IS THE COMPANY?

PRIVATE (Name contains 'PTY' or 'Propriety')

PUBLIC

IF THE COMPANY IS A PRIVATE COMPANY, list the full names of each Director

ARE THERE MORE KEY DECISION MAKERS OR DIRECTORS?

YES

NO

If 'yes', list additional beneficial owners / Directors on a separate copy of this page



THE PERTH MINT
AUSTRALIA

SECTION SIX – DECLARATION

AS AUTHORISED REPRESENTATIVE OF THE COMPANY, YOU

- confirm and warrant that all the information, including the identification material, provided in this form is true, valid and correct;
- warrant that you have the authority to consent to the disclosure of the personal information of any third party individual as required and provided in accordance with this form;
- agree that the information you provide to us will be maintained in accordance with The Perth Mint's Privacy Policy (available at www.perthmint.com/privacy-policy); and
- confirm that you have reviewed and accept The Perth Mint's Terms and Conditions (available at <https://www.perthmint.com/terms-and-conditions/>).

FULL NAME

DATE OF BIRTH

POSITION

PHONE (including area code)

PRIMARY EMAIL ADDRESS

CURRENT RESIDENTIAL ADDRESS (NO POSTAL ADDRESSES OR PO BOXES)

CITY / TOWN

STATE

POSTCODE

COUNTRY

SIGNATURE

COMPANY STAMP (IF APPLICABLE)

DATE

SECTION SEVEN – IDENTIFICATION

Only after we are satisfied that proof of your identity has been established and the validation procedure has been completed will we be able to validate your account for bullion transactions.

When lodging this form, please provide the following documents:

- Certified copy of the ASIC company statement or equivalent foreign body registration document.
- For the authorised representative and each shareholder or decision maker:
 - a certified copy of a driver's licence, passport or national ID card showing the person's signature and photograph; and
 - if the photographic identification doesn't show their current residential address, a utility bill or government notice showing their current residential address.

All documentation provided must be current, except for a passport which may be expired (but not cancelled) by no more than two years. If any documentation is in a language other than English, it must be accompanied by an English translation prepared by an accredited translator.

A certified copy means a document that has been certified as a true copy of the original document by an individual who is authorised to do so

For Australian customers, certification/notarisation is acceptable by the following:

- Legal Practitioner (including lawyers, judges, magistrates, registrar of a court)
- Medical Practitioner
- Pharmacist
- Justice of the Peace
- Notary Public
- Commissioner of Declarations
- Commissioner of Affidavits
- Police Officer
- Member of a professional accounting body
- Australian Consular Official
- An authorised representative of a financial institution

For overseas customers, certification/notarisation is acceptable by the following:

- Commissioner for Oaths
- Justice of the Peace
- Notary Public